Visual Learning by Imitation With Motor Representations

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Abstract—We propose a general architecture for action (mimicking) and program (gesture) level visual imitation. Action-level imitation involves two modules. The *viewpoint Transformation* (VPT) performs a "rotation" to align the demonstrator's body to that of the learner. The *Visuo-Motor Map* (VMM) maps this visual information to motor data.

For program-level (gesture) imitation, there is an additional module that allows the system to recognize and generate its own interpretation of observed gestures to produce similar gestures/goals at a later stage.

Besides the holistic approach to the problem, our approach differs from traditional work in i) the use of motor information for gesture recognition; ii) usage of context (e.g., object affordances) to focus the attention of the recognition system and reduce ambiguities, and iii) use iconic image representations for the hand, as opposed to fitting kinematic models to the video sequence.

This approach is motivated by the finding of visuomotor neurons in the F5 area of the macaque brain that suggest that gesture recognition/imitation is performed in motor terms (mirror) and rely on the use of object affordances (canonical) to handle ambiguous actions.

Our results show that this approach can outperform more conventional (e.g., pure visual) methods.

Index Terms—Anthropomorphic robots, imitation, learning, visuomotor coordination.

I. INTRODUCTION

T HE impressive advance of research and development in robotics and autonomous systems over the past few years has led to the development of robotic systems of increasing motor, perceptual, and cognitive capabilities.

These achievements are opening the way for new application opportunities that will require these systems to interact with other robots or nontechnical users during extended periods of time. Traditional programming methodologies and robot interfaces will no longer suffice, as the system needs to learn to execute complex tasks and improve its performance throughout its lifetime.

Similarly to the ability of human infants to learn through (extensive) imitation, an artificial system can retrieve a large amount of knowledge simply by looking at other individuals, humans, or robots working in the same area.

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Fig. 1. Combination of the Sensory-Motor Map and the viewpoint Transformation allows the robot to mimic the arm movements executed by another robot or human. The recognition module endows the robot to interpret and imitate gestures or goal-directed actions.

The long-term goal of our work is two-fold. On one hand, we want to develop methodologies whereby a system can learn how to perform complex tasks through imitation. On the other hand, our approach relies on recent findings in neuroscience and developmental psychology, aiming to contribute to a better understanding of the fundamental problem of how humans imitate each other and how they recognize and understand the observed behavior and actions.

A. Learning by Imitation

Learning by imitation has been addressed before in the fields of, e.g., humanoid robots [1], where the number of degrees of freedom is very large, teleoperation [2], or assembly tasks [3]. However, most published works only focus on specific components of an imitation system. Instead, we take a holistic approach to describe a complete architecture for arm-hand gesture imitation and recognition, following biologically plausible methodologies. In the work described in [4], the imitator can replicate both the demonstrator's gestures and dynamics. Nevertheless, it requires the usage of an exoskeleton to sense the demonstrator's behavior. Instead, our approach is exclusively based on vision.

We will distinguish two forms of imitation: action-level and program-level imitation. Action-level imitation (or mimicking) consists of replicating the gestures or movements of a demonstrator, without seeking to understand those gestures or the action's goal. Instead, program-level imitation (or gesture imitation) involves recognizing the performed gesture/goal so that the learner can produce its own interpretation of the gesture or action effect. Our overall approach to the problem of learning through (action-level or gesture) imitation is illustrated in Fig. 1 and considers a system composed by an anthropomorphic arm–hand and monocular vision.

It contains three main modules. The *Viewpoint Transformation* (VPT) maps observed gestures to a canonical point of view,

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Fig. 2. Similar gestures can be seen from very distinct perspectives. The image shows one's own arm performing a gesture (ego-image) and that of the demonstrator performing a similar gesture (allo-image).

which corresponds to the visual appearance of a gesture, as if it were performed by the system itself. The *Visuo-Motor Map* (VMM) maps these visual features to motor data directly. The execution of these motor commands produces a gesture that mimics the one performed by the demonstrator, i.e., action-level imitation. The final recognition module endows the system with the ability to recognize goal-directed actions (gestures) executed by a demonstrator. Since the recognition is done in motor terms, the system can then reproduce its own interpretation of the observed gestures: program-level imitation.

B. VPT

For action-level imitation, the learner has not only to visually detect the demonstrators arm (or hand) but also to conceive a "mental rotation" that will place the demonstrator's arm (*alloimage*) in correspondence with the learner's own body (egoimage). This spatial transformation is called the VPT, illustrated in Fig. 2. The VPT is needed because the image appearance of an object may change quite dramatically, as a function of the viewpoint. If we could find image descriptors invariant to viewpoint changes, then the VPT would no longer be needed.

The VPT maps the gestures of a demonstrator to the (*ego-*) image, which would be obtained if those same gestures were performed by the system itself. Surprisingly, in spite of the importance given to the VPT in psychology [5], it has received very little attention from other researchers in the field of visual imitation.

One of the few works that deals explicitly with the VPT is described in [6]. However, instead of considering the complete arm posture, only the mapping of the end-effector position is done. The VPT is performed using epipolar geometry, based on a stereo camera pair.

Other studies address this problem only in an implicit or more superficial way. A mobile robot that capable of learning the policy followed by another mobile vehicle is described in [7]. Since the system kinematics is very simple, the VPT corresponds to a transformation between views of the two mobile robots. In practice, this is achieved by delaying the imitator's perception until it reaches the same place as the demonstrator, without explicitly addressing the process of VPT. The work described in [8] has similar objectives to our own research and allows a robot to mimic the "dance" of an Avatar. However, it does not address the VPT at all, and a special invasive hardware is used to perform this transformation.

If a system is able to handle the viewpoint correspondence, action-level imitation requires mapping the visual features expressed in the ego-image to the corresponding motor commands through the *Visuo-Motor Map* (VMM).

C. Visuo-Motor Map (VMM)

The VMM can be computed explicitly if the parameters of the arm-hand-eye configuration are known *a priori* but—more interestingly—it can be learned from observations of arm/hand motions.

Again, biology can provide relevant analogies. The Asymmetric Tonic Neck reflex [9] forces newborns to look at their hands, allowing them to learn the relationship between motor actions and the corresponding visual stimuli. Similarly, in our work, the robot learns the VMM during an initial period of self-observation while performing hand/arm movements as both visual and motor (proprioceptive) data are available.

Once the VMM has been estimated, the robot can observe a demonstrator, use the VPT to transform the image features to a canonical reference frame, and map these features to motor commands through the VMM. The final result will be a posture similar to that observed.

The VMM can be learnt sequentially, thus decreasing the complexity at each step. The system can start to map the shoulder/elbow joints of the arm. Once this is done, it simplifies the learning of the VMM for the wrist configuration. Finally, once the arm VMM is available, it can provide information for learning the hand VMM. In this paper, all these VMMs are estimated using neural networks.

This sequential strategy for learning the different components of the VMM resembles human development stages [10], [11]. During the first months of life, infants have limited visual and motor capabilities. Both systems evolve side by side, with the visual system feeding information to "calibrate" hand/arm movements and arm movements, providing stimuli to train and improve visual acuity [12]. Similarly, in our work, the sensory-motor map is learned in a sequential (developmental) process.

The VPT and VMM allow the system to perform action-level imitation. For program-level imitation, we need to provide the means for recognizing the gestures performed by someone or their produced effect (goal). Then, an equivalent (but not necessarily equal) gesture can be elicited by the learner. One example could be producing the same effect on a certain object, even if the gesture is (from a kinematic point of view) different.

D. Program-Level (Gesture) Imitation

Our work on program-level (gesture) imitation is strongly motivated by the recent discovery of visuomotor (*mirror* and *canonical*) neurons [13], [14] in the F5 area of the macaque's brain. These neurons discharge during the execution of hand/mouth movements. In this paper, we will focus on arm-hand gestures, which are often referred to as grasp actions or grasps.

In spite of their localization in a premotor area of the brain, *mirror* neurons fire both when the animal performs a

specific goal-oriented grasping task and when it sees that same action being performed by another individual. This observation suggests that the motor system responsible for triggering an action is also involved when recognizing that same action. In other words, recognition would be performed in motor terms, rather than in a purely visual space. By establishing a direct connection between gestures performed by a subject and similar gestures performed by others, mirror neurons may be connected to the ability to imitate found in some species [14], establishing an implicit level of communication between individuals.

Canonical neurons [15] have the intriguing characteristic of responding when objects that afford a *specific* type of grasp are present in the scene, even if the grasp action is not performed or observed. Thus, canonical neurons may encode object affordances and help distinguishing ambiguous gestures during the process of recognition.

Many objects are grasped in very precise ways since they allow the object to be used for some specific purpose. A pen is usually grasped in a way that affords writing, and a glass is held in such a way that we can use it to drink. Hence, if we recognize an object that is being manipulated, it immediately tells us some information about the most likely grasping possibilities (expectations) and hand motor programs, simplifying the task of gesture recognition.

Our work has three main distinctive aspects when compared to traditional approaches: i) the use of motor information for gesture recognition; ii) the use of context (e.g., object affordances) to focus the attention of the recognition system and reduce ambiguities, and iii) the use of iconic image representations for the hand, as opposed to fitting kinematic models to the video sequence.

In contrast with the approaches that perform gesture recognition in pure visual terms, we rely on motor information for (program-level) gesture imitation or recognition. We show that this approach leads to considerable simplification of the problem since the motor representations offer a (much) larger degree of invariance to viewpoint modifications. The work described in [16] is closely related to ours and proposes a model for *mirror neurons*. However, the visual features they use are very difficult to extract from a video sequence, which makes the approach unreliable.

Another important aspect in our work is the use of context information or object affordances [17] for recognition. If an object is more likely to be grasped in some specific way, then the observation of this object in the scene introduces prior knowledge that will bias the gesture classification process. Similarly, certain arm gestures can be more likely in certain contexts than others. This methodology is in accordance with the observation of *canonical neuron* discharges when a graspable object is present in the scene. We blend prior information and observations in a Bayesian framework that achieves high classification rates.

For program-level (gesture) imitation, we will concentrate on grasping actions. Grasp actions are usually partitioned into the *transport* and *grasp* phases [18]. Experiments in neurophysiology indicate that only the grasp phase is relevant for



Fig. 3. (Left) Precision grip. (Center) Power grasp. (Right) Transport phase.

the process of gesture recognition. Fig. 3 illustrates the hand appearance during the approach phase, together with the final phase of two broad classes of grasps that we used: precision grip and power grasp.

Gesture recognition has been addressed in the computer vision community in many different ways [19]–[24]. The difficulty of hand tracking/recognition arises from the fact that the hand is a deformable, articulated object that may display many different appearances, depending on its configuration, viewpoint, or illumination. In addition, there are frequent occlusions between hand parts (e.g., fingers). Due to the extreme difficulty in extracting/tracking fingertips or other notable points in the image, under varying viewpoints, we exploit more iconic, appearance-based, representations for the hand shape that are commonly believed to be used by humans when recognizing (known) gestures.

E. Role of Observation in Learning

A final aspect worth mentioning is the role of observation for the overall system we propose here: both self-observation or looking at other individuals. Observation is involved in different types of learning objectives:

- By manipulating objects, one can learn which grasp types are successful for a certain class of objects. In addition, if we observe *other* people manipulating objects, we can learn the most likely grasps or functions and, for a given class of objects, the *affordances* [17] associated to a certain object.
- When observing one's own gestures, the hand appearance can be estimated and directly related to the corresponding motor commands. Hence, proprioceptive (motor) and visual information can be used to determine the VMM in a natural way.

F. Structure of the Paper

The structure of the paper is as follows. In Section II, we present the models used throughout this work, namely the arm/hand kinematics, the hand appearance model, and the camera/eye geometry. Section III is devoted to the definition and estimation of the VMM and how to learn this map from observations. In Section IV, we describe how the system performs the VPT. Section V describes our Bayesian framework for program-level (gesture) imitation/recognition. Recognition is done in the motor space (mirror neurons) and relies on prior knowledge provided by context or object affordances (canonical neurons). In Section VI, we present results obtained using our approach both for action and program-level imitation. In Section VII, we draw some conclusions and establish directions for future work.



Fig. 4. Kinematic model of the human arm.

II. MODELING

Our robotic system consists of an anthropomorphic arm/hand equipped with a single camera. While the robot arm/hand is simulated, we use a real camera and performed extensive experiments with real data. This section presents the models used for the camera and robot body.

A. Body/Arm Kinematics

The anthropomorphic arm is modeled as an articulated link system. Fig. 4 shows the four arm links: L_1 -forearm, L_2 -upper arm, L_3 -shoulder width, and L_4 -body height.

It is further assumed that the relative sizes of these links are known, e.g., from biometric measurements: $L_1 = L_2 = 1, L_3 = 1.25$, and $L_4 = 2.5$.

B. Camera/Eye Geometry

An image is a two-dimensional (2-D) projection of the threedimensional (3-D) world whereby depth information is lost. In our case, we will retrieve depth information from a single image using knowledge about the body links and a simplified, orthographic camera model.

We use the scaled orthographic projection model that assumes that the image is obtained by projecting all points along parallel lines plus a scale factor. Interestingly, such an approximation may have some biological grounding, taking into account the scale-compensation effect in human vision [25] whereby we normalize the sizes of known objects irrespective to their distances to the eye.

Let $\mathbf{M} = [\mathbf{X} \ \mathbf{Y} \ \mathbf{Z}]^{\mathrm{T}}$ denote a 3-D point expressed in the camera coordinate frame. Then, with an orthographic camera, \mathbf{M} is projected onto $\mathbf{m} = [u \ v]^{\mathrm{T}}$, according to

$$\mathbf{m} = \mathcal{P}\mathbf{M}$$
$$\begin{bmatrix} u \\ v \end{bmatrix} = s \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix}$$
(1)

where s is a scale factor that can be estimated placing a segment with size L fronto-parallel to the camera and measuring the image size l(s = l/L).

For simplification, we assume that the camera axis is positioned in the imitator's right shoulder with the optical axis pointing forward horizontally. With this specification of the camera pose, there is no need for an additional arm–eye coordinate transformation in (1).



Fig. 5. Hand appearance changes dramatically as a function of its configuration and viewpoint.



Fig. 6. Kinematic model of a human hand.

C. Hand

Fig. 5 shows the large variance of an human hand's appearance, observed under a variety of viewpoints. It consists of a complex, multilink system that is prone to generate numerous self-occlusions, which hardens feature extraction or model-based tracking. To avoid this problem and to enhance robustness, we rely on global features that are obtained by projecting the hand images onto a lower dimension subspace, using Principal Component Analysis (PCA).

While the system performs arm/hand movements, self-observation is a powerful means to gather a vast set of visual stimuli, corresponding to many distinct hand postures, viewpoints, and appearances. The hand is segmented using color information, and size/orientation are normalized. These images form the database for the PCA. The number of components used for recognition purposes is 5 and 15 for the visuo-motor transformations.

It is not possible to use the same idea to create a set of images showing the arm in a sufficiently large variety of configurations and viewpoints. Therefore, we have to model the arm geometrically and explicitly handle viewpoint changes.

In the motor space, the hand configuration can be expressed by its several degrees of freedom. Fig. 6 shows the kinematic model used. This simplified structure has 15 degrees of freedom: three for each finger. Finger abduction could be added to increase the model quality.

To perform experiments with motor and visual information, a data-glove system [26] that is capable of recording 22 values of the hand configuration, was used. It consists of a glove with strain gauges to measure joint angles. In a real (robotic or living) system, motor features would correspond to the hand/arm pose/motion proprioceptive information.

III. VMM

The VMM defines a correspondence between perception and action. In our approach, the VMM is structured in two different ways, depending on whether the arm or the hand are being considered. Since the arm has a relatively simple kinematic model, we assume that the arm joints can be tracked and the VMM will thus relate the (ego-)image coordinates of those joints to the actual joint angles. Instead, the hand has a rather complex kinematic structure, and tracking fingertips can be quite difficult. To overcome this problem, we rely on appearance-based methods to visually represent the hand in its various possible configurations. The VMM can be interpreted in terms of forward/inverse kinematics for the case of robot-eye system:

$$VMM : \mathbf{F}^V \longleftrightarrow \mathbf{F}^M$$

where F^V and F^M denote some visual (motor) features.

The VMM can be used to predict the image resulting from moving one's arm (or hand) to a certain posture (\mathbf{F}^{M} to \mathbf{F}^{V}) or to infer the motor command used to achieve the observed posture (\mathbf{F}^{V} to \mathbf{F}^{M}). This last capability will be used to make recognition in motor space and to imitate.

A. Arm VMM

In the context of imitation, the VMM can be used with different levels of ambiguity/completeness. In some cases, one wants to replicate exactly someone else's arm gestures, considering all the joint angles. In some other cases, however, we may want to imitate arm poses only, while the position of the elbow or the rest of the arm configuration is irrelevant. For the arm case, and to encompass these possibilities, we have considered two cases: the *full arm VMM* and the *free-elbow VMM*.

1) Full-Arm VMM: We denote the elbow and wrist image coordinates by $\mathbf{m}_{\mathbf{e}}$ and $\mathbf{m}_{\mathbf{w}}$, the forearm and upper arm image lengths by l_1 and l_2 , and the various joint angles by $\theta_{i=1...4}$. We have

$$[\theta_1,\ldots,\theta_4] = \mathcal{F}_1(\mathbf{m_e},\mathbf{m_w},\mathbf{l_1},\mathbf{l_2},\mathbf{L_1},\mathbf{L_2},\mathbf{s})$$

where $\mathcal{F}_1(\cdot)$ is the VMM, L_2/L_1 represents the (known) length of the upper/forearm, and s is the camera scale factor.

The computation of this function can be done in successive steps, where the angles of the shoulder joint are determined first and used in a later stage to simplify the calculation of the elbow joint's angles.

The inputs to the VMM consist of features extracted from the image points of the shoulder, elbow, and wrist; the outputs are the angular positions of every joint. The shoulder pan and elevation angles θ_1 and θ_2 can be readily obtained from image data as

$$\theta_1 = f_1(\mathbf{m}_e) = \arctan(\mathbf{v}_e/\mathbf{u}_e)$$

$$\theta_2 = f_2(l_2, L_2, s) = \arccos(l_2/sL_2).$$

After extracting the shoulder angles, the process is repeated for the elbow. Before computing this second set of joint angles, the image features undergo a set of transformations to compensate the rotation of the shoulder:

$$\begin{bmatrix} u'_w \\ v'_w \\ \xi \end{bmatrix} = \mathcal{R}_{zy}(\theta_1, \theta_2) \left(\begin{bmatrix} u_w \\ v_w \\ \sqrt{s^2 L_1^2 - l_1^2} \end{bmatrix} - \begin{bmatrix} u_e \\ v_e \\ 0 \end{bmatrix} \right) \quad (2)$$

where ξ is not used in the remaining computations, and $\mathcal{R}_{zy}(\theta_1, \theta_2)$ denotes a rotation of θ_1 around the z axis followed by a rotation of θ_2 around the y axis.

With the transformed coordinates of the wrist, we can finally extract the remaining joint angles θ_3 and θ_4 :

$$\theta_3 = f_3(\mathbf{m}'_{\mathbf{w}}) = \arctan(\mathbf{v}'_{\mathbf{w}}/\mathbf{u}'_{\mathbf{w}})$$

$$\theta_4 = f_4(\mathbf{m}'_{\mathbf{w}}, \mathbf{L1}, \mathbf{s}) = \arccos(\mathbf{l}'_1/\mathbf{sL}_1)$$

The approach just described allows the system to determine the joint angles corresponding to a certain image configuration of the arm. In the next section, we will address the case where the elbow joint is allowed to vary freely.

2) *Free-Elbow VMM*: The *free-elbow* VMM is used to generate a given hand position, while the elbow is left free to reach different configurations. The input features consist of the hand image coordinates and the shoulder-hand distance.

$$[\theta_1, \theta_2, \theta_4] = \mathcal{F}_2(\mathbf{m}_{\mathbf{w}}, {}^{\mathbf{r}}\mathbf{d}\mathbf{Z}_{\mathbf{w}}, \mathbf{L}_1, \mathbf{L}_2, \mathbf{s}).$$

The elbow joint θ_3 is set to a comfortable position. This is done in an iterative process that is aimed at maintaining the joint positions as far as possible from their limit values. The optimal elbow angle position $\hat{\theta}_3$ is chosen to maximize:

$$\hat{\theta}_3 = \arg\max_{\theta_3} \sum_i (\theta_i - \theta_i^{\text{limits}})^2$$

while the other angles can be calculated from the arm features. Again, the estimation process can be done sequentially, each joint being used to estimate the next one:

$$\theta_4 = \arcsin\left(\frac{{}^r x_h^2 + {}^r y_h^2 + {}^r z_h^2}{2} - 1\right)$$

$$\theta_2 = 2 \arctan\left(\frac{b_1 - \sqrt{b_1^2 + a_1^2 - c_1^2}}{a_1 + c_1}\right) + \pi$$

$$\theta_1 = 2 \arctan\left(\frac{b_2 - \sqrt{b_2^2 + a_2^2 - c_2^2}}{a_2 + c_2}\right)$$

where the following constants have been used:

$$a_1 = \sin \theta_4 + 1$$

$$b_1 = \cos \theta_3 \cos \theta_4$$

$$c_1 = -^r y_h$$

$$a_2 = \cos \theta_4 \cos \theta_2 \cos \theta_3 - \sin \theta_2 (1 + \sin \theta_4)$$

$$b_2 = -\cos \theta_4 \sin \theta_3$$

$$c_2 = {}^r x_h.$$

3) Learning the Arm VMM: In the previous sections, we have derived the expressions of the full-arm and free-elbow VMMs. We could thus use these expressions directly to predict the visual consequences of some arm motion. Instead, we adopted a learning approach whereby the system learns the

 TABLE I

 MEAN SQUARED ERROR (IN DEGREES SQUARED) FOR THE EACH JOINT IN THE FULL-ARM VMM

θ_1	θ_2	θ_3	θ_4
$3.6e^{-2}$	$3.6e^{-2}$	3.6	3.6

VMM by performing arm movements and observing the effect on the image plane. In this way, the system will not depend explicitly on the knowledge of some design parameters and can adapt automatically to any changes or deviations from such theoretical model.

From the derivation of the analytical expressions, we see that the VMM can be computed sequentially: estimating the first angle, which is then used in the computation of the following angle, and so forth. This fact allows the system to learn the VMM as a sequence of smaller learning problems.

This approach strongly resembles the development of sensory-motor coordination in newborns and young infants, which starts by simple motions that get more and more elaborate, as infants acquire a better control over motor coordination.

In all cases, we use a *Multilayer Perceptron* (MLP) to learn the VMM, i.e., to approximate functions $f_{i=1...4}$. Table I presents the learning error and illustrates the good performance of our approach for estimating the VMM. The value 3.6 corresponds to the threshold for the training algorithm. The order of magnitude is $100 \times$ bigger in the last two degrees of freedom because they depend on the previous ones in a nonlinear way.

Ideas about development can be further exploited in this construction. Starting from simpler cases, decoupling several degrees of freedom, interleaving perception with action learning cycles are developmental "techniques" found in biological systems.

B. Hand VMM

During self-observation, the system can generate a large variety of hand visual stimuli for the construction of the VMM. The learning consists in estimating a subspace, spanning hand images taken from a variety of viewpoints. The hand VMM relates the hand image (normalized for orientation and scale) directly to the finger joint angles.

As the transformation from the visual space to the motor space is quite complex, it was learned with an MLP, for each joint angle. For each network *i*, the input consists of a 15-dimensional vector \mathbf{F}^V , which are the PCA components of the imaged hand appearance. The output consists of a single unit, coding the corresponding joint angle \mathbf{F}_i^M . There are five neurons in the hidden layer.

We assume that \mathbf{F}^V is captured across many different viewpoints. This is possible to generate during self-observation since a huge variety of hand configurations can be easily displayed. Otherwise, a viewpoint transformation is needed to prealign the visual data [27].

The VMM can lead to impossible (temporal) trajectories, as errors in input frames can cause discontinuities in the motor space. To overcome this problem, continuity is imposed in the motor data through a first-order dynamic filter.

Each neural network was trained with momentum and adaptive *back-propagation* with the data preprocessed to have zero



Fig. 7. Several trials of precision grip experiment. Solid line: Original motor information. Dotted Line: Reconstructed motor information using the VMM.

mean and unitary variance. It converges to an error of 0.01 in less than 1000 epochs.

Fig. 7 shows trajectories (solid-line) for a joint angle of the little finger when performing several precision grips. It is noticeable that even inside each grasp class, the variability is very large. This is due to the differences between the grasped objects and illustrates how the observed features depend not only on the "grasp" type but also on the manipulated object (see Section V-B for discussion). The dashed line in the figure shows that the trajectory reconstructed through the neural-VMM is remarkably close to the "true" values. The accuracy of the VMM may degrade when more complex gestures are included, but then, the type of objects in the scene or overall context will play a more important role.

A final aspect worth mentioning is that the hand VMM can also be learned during an initial phase, when the system (natural or artificial) performs hand gestures and observes the (visual) consequences of such gestures. Both proprioceptive (motor) and visual data are present, and the association can be established. An additional comment is that self-observation may allow the system to search and tune the most interesting visuo-motor features, such that a more compact representation could be used.

IV. VPT

A certain arm gesture can be seen from very different perspectives, depending on whether the gesture is performed by the robot (self-observation) or by the demonstrator.

One can thus consider two distinct images: the *ego-centric* image I_e during self-observation and the *allocentric* image I_a when looking at other robots/people. The VPT has to align the allo-centric image of the demonstrator's arm, with the ego-centric image, as if the system were observing its own arm.

In our work, we model the arm as a kinematic chain, whose image projection greatly depends on the observation viewpoint. For that reason, we explicitly develop a procedure to determine the VPT [27] for the arm.

Instead, the hand is treated in a different manner. To avoid the difficulty of fitting a kinematic model of the hand to the images, we chose to use the hands image appearance directly as representations. Since different viewpoints and the resultant appearance changes are already taken into account, there is no need to explicitly define a VPT for the hand. Hence, from this point on, we only consider the VPT for the arm configuration.

The precise structure of the VPT is related to the ultimate meaning of imitation. Experiments in psychology show that imitation tasks can be ambiguous. In some cases, humans only partially imitate the gestures of a demonstrator (e.g., replicating the hand pose but having a different arm configuration, as in sign language), use a different arm, or execute gestures with distinct absolute orientations [28]. In some other cases, the goal consists of mimicking someone else's gestures as completely as possible, as when performing dancing or dismounting a complex mechanical part.

According to the structure of the chosen VPT, a class of imitation behaviors can be generated. We consider two different cases. In the first case—3-D VPT—a complete 3-D imitation is intended. In the second case—2-D VPT—the goal consists in achieving coherence only in the image, even if the arm pose might be different. Depending on the desired level of coherence (2-D/3-D), the corresponding (2-D/3-D) VPT allows the robot to transform the image of an observed gesture to an equivalent image as if the gesture were executed by the robot itself.

A. 3-D VPT

In this approach, we explicitly reconstruct the posture of the observed arm in 3-D and use fixed points (shoulders and hip) to determine the rigid transformation that aligns the allo-centric and ego-centric image features: We then have

$$I_e = \mathcal{P}T\operatorname{Rec}(I_a) = \operatorname{VPT}(I_a)$$

where \mathcal{P} is a orthographic projection matrix, T is a 3-D rigid transformation, and $\text{Rec}(I_a)$ stands for the 3-D reconstruction of the arm posture from allo-centric image features. Posture reconstruction and the computation of T are presented in the following sections.

1) Posture Reconstruction: To reconstruct the 3-D posture of the observed arm, we will follow the approach in [29], based on the orthographic camera and articulated arm models presented in Section II.

Let M_1 and M_2 be the 3-D endpoints of an arm-link whose image projections are denoted by $\mathbf{m_1}$ and $\mathbf{m_2}$. Under orthography, the X, Y coordinates are readily computed from image coordinates (simple scale). The depth variation $dZ = Z_1 - Z_2$ can be determined as

$$dZ = \pm \sqrt{L^2 - \frac{l^2}{s^2}}$$

where $L = ||M_1 - M_2||$ and $l = ||m_1 - m_2||$. If the camera scale factor s is not known beforehand, one can use a different value, provided that the following constraint, involving the relative sizes of the arm links, is met:

$$s \ge \max_{i} \frac{l_i}{L_i} \quad i = 1 \dots 4. \tag{3}$$



Fig. 8. (Left) Reconstructed arm posture. (Right) Original view.

Fig. 8 illustrates results of the reconstruction procedure. It shows an image of an arm gesture and the corresponding 3-D reconstruction that is achieved with a single view and considering that s and the arm links proportions were known.

With this method, there is an ambiguity in the sign of dZ. We overcome this problem by restricting the working volume of the arm. In the future, we will further address this problem and several approaches may be used: i) optimization techniques to fit the arm kinematic model to the image; ii) explore occlusions to determine which link is in the foreground; or iii) use kinematics constraints to prune possible arm configurations.

2) Rigid Transformation (T): A 3-D rigid transformation is defined by three angles for the rotation and a translation vector. Since the arm joints are moving, they cannot be used as reference points. Instead, we consider the three points in Fig. 4: left and right shoulders ($M_{\rm ls}, M_{\rm rs}$) and hip $M_{\rm hip}$, with image projections denoted by ($m_{\rm ls}, m_{\rm rs}, m_{\rm hip}$). The transformation T is determined to translate and rotate these points until they coincide with those of the system's own body.

The translational component must place the demonstrators right shoulder at the image origin (which coincide's with the system's right shoulder) and can be defined directly in image coordinates:

$$t = -^a m_{\rm rs}$$

After translating the image features directly, the remaining steps consist of determining the rotation angles to align the shoulder line and the shoulder-hip contour. The angles of rotation along the z, y, and x axes, which are denoted by ϕ, θ , and ψ , are given by

$$\phi = \arctan(v_{\rm ls}/u_{\rm ls})$$

$$\theta = \arccos(u_{\rm hip}/L_4)$$

$$\psi = \arccos(v_{\rm hip}/L_3).$$

Hence, by performing the image translation first and the 3-D rotation described in this section, we complete the process of aligning the image projections of the shoulders and hip to the ego-centric image coordinates.

B. 2-D VPT

The 2-D VPT is used when one is not interested in imitating the depth variations of a certain movement, alleviating the need for a full 3-D transformation. It can also be seen as a simplification of the 3-D VPT if one assumes that the observed arm describes a fronto-parallel movement with respect to the camera. The 2-D VPT performs an image translation to align the shoulder of the demonstrator $({}^am_s)$ and that of the system (at the image origin, by definition). The VPT can be written as

$$\operatorname{VPT}(^{a}m) = \begin{bmatrix} -1 & 0\\ 0 & 1 \end{bmatrix} \begin{bmatrix} ^{a}m - ^{a}m_{s} \end{bmatrix}$$
(4)

and is applied to the image projection of the demonstrator's hand or elbow am_h or am_e .

Notice that when the arm used to imitate is the same as the demonstrator, the imitated movement is a mirror image of the original. If we use a identity matrix in (4), then the movement will be correct. At the image level, both the 2-D and 3-D VPTs have the same result, but the 3-D posture of the arm is different in the two cases.

From the biological standpoint, the 2-D VPT is more plausible than the 3-D version. In [28], several imitation behaviors are presented that are not always faithful to the demonstrated gesture: Sometimes, people do imitate with the contra-lateral hand, depth is irrelevant in some other cases, movements can be reflections of the original ones, etc. The 3-D VPT might be more useful in industrial facilities where gestures should be exactly reproduced.

V. BAYESIAN MODEL FOR PROGRAM-LEVEL (GESTURE) IMITATION

We model gesture recognition in a Bayesian framework, which allows us to naturally combine *a priori* information and knowledge derived from observations (likelihood). The role played by canonical and mirror neurons will be interpreted within this setting.

Let us assume that we want to recognize (or imitate) a set of gestures G_i using a set of observed features F. For the time being, these features can either be represented in the motor space (as mirror neurons seem to do) or in the visual space (directly extracted from images). Let us also define a set of contexts C_k related to the scene. Contexts represent the situations that influence the actions or gestures that may occur. Typical examples would be a tennis or golf match (where only some sets of movements are normally executed) or the presence of specific objects in the scene (which tend to be grasped in specific ways).

The prior information is modeled as a probability density function $p(G_i | C_k)$ describing the probability of each gesture, given a certain context. The observation model is captured in the *likelihood function*, $p(F | G_i, C_k)$, describing the probability of observing a set of (motor or visual) features, conditioned to an instance of the pair gesture and context. The *posterior* density can be directly obtained through Bayesian inference:

$$p(G_i | F, C_k) = \frac{p(F | G_i, C_k)p(G_i | C_k)}{p(F | C_k)}$$
$$\hat{G}_{MAP} = \arg\max_{G_i} p(G_i | F, C_k)$$
(5)

where $p(F | C_k)$ is just a scaling factor that will not influence the classification.

The MAP estimate G_{MAP} is the gesture that maximizes the posterior density in (5). In order to introduce some temporal filtering, features of several images can be considered:

$$p(G_i | F, C_k) = p(G_i | F_t, F_{t-1}, \dots, F_{t-N}, C_k)$$

where F_j are the features corresponding to the image at time instant j. The posterior probability distribution can be estimated using a naive approach, assuming independence between the observations at different time instants. The justification for this assumption is that recognition does not necessarily require the accurate modeling of the density functions. Therefore, the the temporal relationship between the different frames can be ignored. We then have

$$p(G_i \mid F_t, F_{t-1}, \dots, F_{t-N}, C_k) = \prod_{j=0}^N \frac{p(F_{t-j} \mid G_i, C_k) p(G_i \mid C_k)}{p(F_{t-j} \mid C_k)}.$$

In the future, we plan to use the information related to the temporal dependency of the different frames to further improve our results and—above all—to allows us to do time predictions.

A. Estimating the Prior and the Likelihood Function

The *prior* density function $p(G_i | C_k)$ is blended together with evidence from the observations to shape the final decision. This density can be estimated by the relative frequency of gestures in the training set for each context.

Computing the likelihood function $p(F | G_i, C_k)$ is elaborated upon further. As it may correspond to a complex distribution, it will be modeled by a Gaussian mixture, which is fitted to data points. In what follows, we will describe the process of fitting a mixture model to a density p(x):

$$p(x) = \sum_{j=1}^{K} \pi_j p(x \mid j)$$

where $p(x|j) \sim N(\mu_j, \sigma_j)$ is a Gaussian distribution. For a proper probability density function, we need to ensure that $\sum_{i=1}^{K} \pi_i = 1, \pi_i \ge 0.$

The Expectation–Maximization (EM) algorithm is used to estimate the parameters μ_i, σ_i, π_i that best fit the data. The main problem with this solution is the necessity of knowing in advance the number of kernels K. In [30] and [31], there is the option of modifying the number of Gaussian kernels used to best fit the data. The number of kernels can be increased during the learning process, based on a new measure designated as the total *kurtosis* \mathcal{K} :

$$\mathcal{K} \triangleq \int_{-\infty}^{\infty} \left(\frac{x-\mu_j}{\sigma_j}\right)^4 \frac{p(j \mid x)}{\pi_j} p(x) dx - 3.$$

The *kurtosis* measures how far a distribution is from a Gaussian, and it is zero for a Gaussian function. If the *kurtosis* is not close to zero for a given kernel, it means that the data are not Gaussian, and this kernel must be split. On the other hand, the number of kernels can sometimes be reduced (merged) in order to reduce the model complexity. A "closeness" metric between two kernels can be defined as follows:

$$d(p_1, p_2) = \frac{\prod_{x_i \in X_1} p_2(x_i) \prod_{x_i \in X_2} p_1(x_i)}{\prod_{x_i \in X_1} p_1(x_i) \prod_{x_i \in X_2} p_2(x_i)}$$

where X_i are the data points used for the estimation of $p_i(x)$.

Two different kernels can be merged if the distance between them is sufficiently small. At the end of this process, we have an estimate of the likelihood function directly from the data, without imposing a particular structure for the underlying distribution. An important point worth mentioning is that this method can cope with clusters with very irregular shapes and that it automatically adapts to the shape of such clusters.

B. Role of Canonical and Mirror Neurons

The role of canonical neurons in the overall classification system lies essentially in providing the affordances or prior knowledge. In the specific case of grasp actions, affordances are related to graspable objects in the scene and the various possible ways in which they can be grasped. Canonical neurons are also somewhat involved in the computation of the likelihood function, since it depends both on the *gesture* and *context/object*, thus implicitly defining another level of association between these.

Mirror neurons are also represented in our methodology by the fact that the recognition takes place in the motor space as opposed to visual terms. In addition, in the same way as mirror neurons respond to specific grasp actions, each recognized *gesture* constitutes a symbolic motor representation to be used later on, when eliciting more complex composed gestures. Noteworthily, the ability of recognizing someone's gestures is facilitated by the fact that the system knows how to perform those same gestures.

VI. EXPERIMENTS

We have implemented the modules discussed in the previous sections to build a system able to imitate and recognize gestures.

The following sections present results of hand segmentation and action-level and program-level (gesture) imitation.

A. Automatic Body Segmentation

For visual segmentation of hand/body, we have three steps: background, person, and hand segmentation.

The background is estimated during an initial period of 100 frames by considering the intensity of each pixel as a Gaussian random variable. After this process, we can estimate the probability of each pixel being part of the background. People are detected, after background subtraction, by template matching. The template consists of two rectangular areas shown in Fig. 9. By scaling the template, we can estimate the size of the person and the scale parameter s of the camera model. In addition, if we need to detect if the person is rotated with respect to the camera, we can scale the template independently in each direction and estimate this rotation by the ratio between the head height and shoulder width. To detect the hand position, we use skin color segmentation, based on the RGB color scheme normalized with the blue channel. The classification of skin pixels was implemented by a feedforward neural network with three neurons in the hidden layer. The training data were obtained by selecting skin color and the background in sample images. After color classification, a majority morphological operator is used. The hand is identified as the largest blob found and its position is estimated over time with a Kalman filter. Fig. 9 shows the result of this process.



Fig. 9. Vision system. From left to right: original image, background segmentation with human (the frame corresponds to the template matching), and hand detection.



Fig. 10. Quality of the results can be assessed by the coincidence of the demonstrator gestures and the result of imitation.

B. Action-Level Imitation

The first step for action-level imitation consists of training the system to learn the *VMM*, as described in Section III. This is accomplished by a neural network that estimates the VMM while the system performs a large number of arm movements.

The imitation process consists of the following steps: i) The system observes the demonstrator's arm movements; ii) the VPT is used to transform these image coordinates to the *ego-image*, as proposed in Section IV, and iii) the VMM generates the adequate joint angle references to execute the same arm movements.

Fig. 10 shows experimental results obtained with the 3-D VPT with the learned VMM (full-arm). To assess the quality of the results, we overlaid the images of the executed arm gestures (wire frame) on those of the demonstrator. The quality of imitation is very good.

Fig. 11 shows results obtained in real-time (about 5 Hz) when using the 2-D VPT and the *free-elbow* VMM. The goal of imitating the hand gesture is well achieved, but, as expected, there are differences in the configuration of the elbow, particularly at more extreme positions.



Fig. 11. Family of solutions with different elbow angles, while the hand position is faithfully imitated.



Fig. 12. Action-Level hand imitation.

Fig. 12 shows a result of hand imitation using the *hand-VMM*. This imitation was done after detecting the hand, projecting the image in the PCA base, and then using as motor commands the result of the VMM. Visually, it is possible to see the quality of reconstruction. For quantitative quality evaluation, see the results in Section III.

These tests show that encouraging results can be obtained with our framework in realistic conditions.

C. Program-Level (Gesture) Imitation

To collect experimental data, we asked several subjects to perform three grasps on different objects [32]. The experiment begins with the subject sitting in a chair with his hand on the table. Finally, the subject is told to grasp the object that is in front of him.

The experiments include two types of grasp: power grasp and precision grip. Power grasp is defined when all the hand fingers and the palm are in contact with the object. Instead, in precision grip, only the fingertips touch the object.

We considered three different objects: a small sphere, a large sphere, and a box. The small sphere is sufficiently small so that only precision grip is allowed. The big sphere allows only power grasps. The box is ambiguous because it allows all possible grasps with different orientations.



Fig. 13. Data set illustrating some of the used grasp types: (Left) power and (right) precision. Altogether, the tests were conducted using 60 sequences from which a total of about 900 images were processed.

TABLE II Gesture Recognition Results. The Use of Motor Representations Greatly Improves the Recognition Rate and Viewpoint Invariance (See Text for Details)

	Exp. I (visual)	Exp. II (visual)	Exp. III (visual)	Exp. IV (motor)	
	Training				
# Sequences	16	24	64	24	
View-points	1	1	4	1	
Classif. Rate	100%	100%	97%	98%	
# Features	5	5	5	15	
# Modes	5-7	5-7	5-7	1-2	
	Test				
# Sequences	8	96	32	96	
View-points	1	4	4	4	
Classif. Rate	100%	30%	80%	97%	

Every experiment was repeated several times under varying conditions. The subject and the camera go around the table to cover a large variation of viewpoints. To record the sequences, we use a stereo-pair. In total, we record the experiments from six different azimuths (12 if we consider the stereo-pair). In order to record the motor information, we used a data-glove [26]. Altogether, the data-set contains 60 grasp sequences with three objects: two grasps with six different azimuths.

Fig. 13 shows sample images of the data set acquired according to the process just described. Notice the multiplicity of grasps, hand appearance, and viewpoints.

Table II shows the obtained classification rates. It allows us to compare the benefits of using motor representations for recognition as opposed to visual information only. The results shown correspond to the use of the ambiguous objects only, when the recognition is more challenging. We varied the number of viewpoints included in both the training and test sets to assess the degree of view invariance attained by the different methods.

In the first experiment, both the training and test sets correspond to one single viewpoint. Training was based on 16 grasp sequences, while test was done in eight (different) sequences. The achieved classification rate was 100%. The number of visual features (number of PCA components) was also tuned, and the value of 5 provided good results. The number of modes (Gaussians in the mixture) were typically from 5 to 7. The second experiment shows that this classifier is not able to generalize to other viewpoints/camera positions. We used the same training-set as in Experiment I, but the test-set is formed with image sequences acquired with four different camera positions. In this case, the classification rate is worse than random (30%).

In the third experiment, we added viewpoint variability in the training set. When sequences from all camera positions are included in the training-set, the classification rate in the test-set drops to 80%. While this is a more acceptable value, it is nevertheless a significant drop from the desired 100%. This result shows that the viewpoint variation introduces such challenging modifications in the hand appearance in which classification errors occur.

The final experiment corresponds to the main approach proposed in this paper. The system learns a VMM during an initial period of self-observation. Then, the VMM is used to transform the (segmented) hand images to motor information, where classification is conducted. A very high degree of classification was achieved (97%). Interestingly, the number of modes needed for the learning is between one or two in this case, as opposed to five to seven, when recognition takes place in the visual domain. This also shows that mapping visual data to motor representations helps clustering the data, as it is now viewpoint invariant.

Notice that viewpoint invariance is achieved when the training set only contains sequences from one single viewpoint. These experiments show that motor representations describe the hand better. As only visual information is available during recognition, the process greatly depends on the VMM. The results also validate that our approach to estimate the VMM allows recognition to be performed. For the case of only one camera position, the quality obtained was very good if the number of visual features used was 15. As the grasp recognition is done in motor space, our system has the capability of doing program-level imitation.

VII. CONCLUSIONS AND FUTURE WORK

We presented a general approach for action and program level learning by imitation. Action-level (mimic) imitation involved the *Viewpoint Transformation* and the *Visuo-Motor Map*, which led to encouraging results. These modules were developed with several properties in mind: properties of 3-D or 2-D imitation for the case of the arm-VPT, viewpoint invariant properties for the case of the hand-VMM, or rigid versus free elbow for the case of the arm-VMM.

For program-level (gesture) imitation, an additional module was necessary. The interpretation of observed gestures allows us to produce similar gestures/goals at a later stage. This is similar to the *Mirror System*, where a classification of the observed action's goal is done. Our approach, for action level and gesture imitation, draws inspiration from the role that *canonical and mirror neurons* seem to play for grasp recognition or imitation in primates. We adopt a Bayesian formulation, where all these observations are taken into account. We describe how to estimate the prior density and likelihood functions directly from the data.



Fig. 14. Baltazar Robot Platform that will be used in future experiments.

Our approach dealt explicitly with the sensing problems involved in imitation. Although we relied exclusively in a single camera, good results were possible due to

- 1) the use of motor information for gesture recognition, inspired by studies on mirror neurons;
- the use of context (e.g., object affordances) to focus the attention of the recognition system and reduce ambiguities, suggested by canonical neurons;
- the use of iconic image representations for the hand, as opposed to fitting kinematic models to the video sequence;
- 4) temporal integration of information;
- 5) use of self-observation information in order to understand others;

In our opinion, the results obtained are an encouraging step in the endeavor to understand the biological grounding of imitation and, at the same time, develop the principles to build more performing and robust machines that are able to cope with complex tasks and to interact with humans. The results obtained illustrate the benefits of designing intelligent machines inspired on biological findings and hypotheses while, at the same time, offering robotics technologies as a testbed for such hypotheses.

In future work, we will test this methodology on an anthropomorphic robot, composed of an arm, articulated hand, and binocular head (see Fig. 14). The robot has been built, and the first tests are currently ongoing. In addition, we plan to address more complex tasks where the temporal chaining of elementary gestures must be taken into account. In addition, the goal of the action is expected to become increasingly more important as the actions themselves become richer.

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